

Generic bounded solutions and maximal slope quotients of overconvergent F -isocrystals on curves

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**Périodes, motifs et équations différentielles:
entre arithmétique et géométrie
à l'occasion des 60⁺⁺ ans d'Yves André**

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§1. Minimal slope conjecture

k : perfect field, char $p > 0$

R : c.d.v.r., mixed char $(0, p)$ with $k = R/\mathfrak{m}_K$

$K := \text{Frac}(R)$ with q -Frobenius σ ($q = p^a$ ($a > 0$))

$|\cdot|$: multi. valuation normalized by $|p| = p^{-1}$

X : smooth connected scheme sep. of f.t. $/k$

(over)convergent F -isocrystals (Berthelot, Crew, Kedlaya, ...)

$\mathcal{M}(\dagger) = (\mathcal{M}(\dagger), \nabla, \Phi)$: coherent sheaf on a p -adic analytic lift of X

$\left\{ \begin{array}{l} \text{integrable connection, (over)convergent condition} \\ \text{Frobenius } \Phi : F^* \mathcal{M}(\dagger) \xrightarrow{\cong} \mathcal{M}(\dagger) \text{ horizontal w.r.t. } \nabla \end{array} \right.$

$$\left(\begin{array}{c} \text{overconvergent} \\ F\text{-isocrystals on } X/K \\ \mathcal{M}^\dagger \end{array} \right) \begin{array}{c} \text{fully faithful} \\ \subset \\ \mapsto \end{array} \left(\begin{array}{c} \text{convergent} \\ F\text{-isocrystals on } X/K \\ \mathcal{M} \end{array} \right)$$

N.B. $X = \text{Spec } k[x]/I$: affine smooth

$$\Rightarrow \left\{ \begin{array}{l} \widehat{A}_{X,K}^\dagger = (\widehat{R[x]}^\dagger / \widehat{I}^\dagger) \otimes K = \{ \sum_{n \geq 0} a_n x^n \mid \exists \eta > 1 \text{ s.t. } |a_n| \eta^{|n|} \rightarrow 0 \} / \widehat{I}_K^\dagger \quad \dots \text{ overconv.} \\ \widehat{A}_{X,K} = (\widehat{R[x]} / \widehat{I}) \otimes K = \{ \sum_{n \geq 0} a_n x^n \mid |a_n| \rightarrow 0 \} / \widehat{I}_K \quad \dots \text{ conv.} \end{array} \right.$$

Slopes and slope filtrations

Definition

$\mathcal{M} = (\mathcal{M}, \nabla, \Phi)$: a conv F -isoc on X/K

- (1) \mathcal{M} is isoclinic of slope 0 if $i_{\bar{a}}^* \mathcal{M} \cong (\widehat{K}^{\text{ur}}, \sigma)^{\text{rk}(\mathcal{M})}$ for $\forall i : \bar{a} \rightarrow X$.
- (2) \mathcal{M} is isoclinic of slope m/n if $(\mathcal{M}^{\otimes n}, q^{-m} \Phi^{\otimes n})$ is isoclinic of slope 0.
- (3) Slope filtration of \mathcal{M} is an increasing filtration

$$0 = \mathcal{M}_0 \subsetneq \mathcal{M}_1 \subsetneq \cdots \subsetneq \mathcal{M}_r = \mathcal{M}$$

$\mathcal{M}_i / \mathcal{M}_{i-1}$: isoclinic of slope s_i satisfying $s_1 < s_2 < \cdots < s_r$.

Theorem (Katz, Crew)

$$\left(\begin{array}{c} \text{continuous} \\ p\text{-adic representations} \\ \text{of } \pi_1^{\text{et}}(X, *) \end{array} \right) \cong \left(\begin{array}{c} \text{convergent} \\ F\text{-isocrystals on } X/K \\ \text{isoclinic of slope 0 (unit-root)} \end{array} \right)$$

Theorem (Katz, Kedlaya)

\mathcal{M} : conv F -isoc on X/K

$\Rightarrow \exists j : U \subset X$ dense open s.t. $j^* \mathcal{M}$ admits slope filtration.

Minimal slope conjecture

Conjecture (Kedlaya)

$\mathcal{M}^\dagger, \mathcal{N}^\dagger$: irred. o.c. F -isoc's on X/K admitting slope fil on \mathcal{M}, \mathcal{N}

$$\alpha_1 : \mathcal{M}_1 \xrightarrow{\cong} \mathcal{N}_1 \Rightarrow \exists \alpha^\dagger : \mathcal{M}^\dagger \xrightarrow{\cong} \mathcal{N}^\dagger \text{ s.t. } \begin{array}{ccc} \mathcal{M}_1 & \xrightarrow{\alpha_1} & \mathcal{N}_1 \\ \downarrow & \circlearrowleft & \downarrow \\ \mathcal{M} & \xrightarrow{\alpha} & \mathcal{N} \end{array}$$

We will study the dual form:

Dual form of M.S.C.

$\mathcal{M}^\dagger, \mathcal{N}^\dagger$: irred. o.c. F -isoc's on X/K admitting slope fils on \mathcal{M}, \mathcal{N}

$$\mathcal{M} = \mathcal{M}^0 \supsetneq \mathcal{M}^1 \supsetneq \dots \supsetneq \mathcal{M}^r = 0 \quad (\text{sl}(\mathcal{M}^{i-1}/\mathcal{M}^i) > \text{sl}(\mathcal{M}^i/\mathcal{M}^{i+1})).$$

$$\beta^0 : \mathcal{N}/\mathcal{N}^1 \xrightarrow{\cong} \mathcal{M}/\mathcal{M}^1 \Rightarrow \exists \beta^\dagger : \mathcal{N}^\dagger \xrightarrow{\cong} \mathcal{M}^\dagger \text{ s.t. } \begin{array}{ccc} \mathcal{N} & \xrightarrow{\beta} & \mathcal{M} \\ \downarrow & \circlearrowleft & \downarrow \\ \mathcal{N}/\mathcal{N}^1 & \xrightarrow{\beta^0} & \mathcal{M}/\mathcal{M}^1 \end{array}$$

Results

Theorem (T. (curves), D'Addezio (in general))

The minimal slope conjecture is true.

○ Known results

- X : proper $\Rightarrow \mathcal{M}^\dagger = \mathcal{M} = \mathcal{M}_1$ (\because irred)
- [Ambrosi-D'Addezio] $\text{rank } \mathcal{N}^\dagger = 1$, assuming only nontrivial α_1
- [T.] the case of curves, and in general over finite fields
by studying b-solutions and max slope quotients
+ Chebotarev, Companion thm " $p \Rightarrow \ell$ " (after Ambrosi-D'Addezio)
- [D'Addezio] \dagger -hull ("dual" of our methods) + Lefschetz theorem
 \Rightarrow Crew's parabolicity conjecture on monodromy groups of F -isoc

An application

<p>nonisotrivial families of elliptic curves</p> $ \begin{array}{ccc} Y_1 & & Y_2 \\ & \searrow & \swarrow \\ & X & \dim X > 0 \end{array} $ <p>$U_i \subset X$: ordinary locus of Y_i/X nonisotrivial $\Rightarrow U_i \neq \emptyset$</p>	$\mathcal{M}_i^\dagger = \underline{H}_{\text{rig}}^1(Y_i/(X, \overline{X}))$ <p>nonisotrivial \Rightarrow irred</p> $0 \rightarrow \mathcal{L}_i \rightarrow \mathcal{M}_i _{U_i} \rightarrow \mathcal{N}_i \rightarrow 0$ <p>slope 0 1</p>	
<p>Tate module $\hookleftarrow \pi_1^{\text{et}}(U_i)$ $V_i = \mathbb{Q}_p \otimes \varprojlim Y_i[p^n](k(X)^{\text{sep}})$</p>	$\mathcal{L}_i^\vee : \text{unit-root (slope 0)}$	
<p>$V_1 \cong V_2 \hookleftarrow \pi_1^{\text{et}}(U)$ for $\emptyset \neq \exists U \subset U_1 \cap U_2$</p> <p>$Y_1 \xrightarrow{\text{isogeny}} Y_2/X$</p>	<p>\Leftrightarrow Katz-Crew</p> <p>de Jong + Chai-Faltings \Leftrightarrow</p>	<p>$\mathcal{L}_1 _U \cong \mathcal{L}_2 _U$</p> <p>$\Updownarrow$ M.S.C. + F.F.</p> <p>$\mathcal{M}_1^\dagger \cong \mathcal{M}_2^\dagger$</p>

Theorem (Kedlaya)

$U \subset X$: open dense $\Rightarrow F\text{-Isoc}^\dagger(X/K) \rightarrow F\text{-Isoc}^\dagger(U/K)$: fully faithful.

§2. Bounded solutions and maximal slope quotients

X : smooth connected affine curve over k

\mathcal{M}^\dagger : o.c. F -isocrystal on X/K

$M_\eta = \Gamma(\]X[, \mathcal{M}) \otimes E_{X,K}$: generic (Φ, ∇) -module

Two quotients

$$\begin{array}{ccc}
 M_\eta / M_\eta^b & \twoheadrightarrow & M_\eta / M_\eta^1 \\
 \text{max bounded quot} & & \text{max slope quot}
 \end{array}$$

Definition (Local version by Chiarellotto-T.)

\mathcal{M}^\dagger is PBQ (pure of bounded quotient) \Leftrightarrow surj is isom

Notation

X : a smooth connected affine curve over k

$$\begin{array}{ccccccc}
 X & \rightarrow & \mathcal{X} = \text{Spec } A_X & \widehat{\mathcal{X}} = \text{Spf } \widehat{A}_X & \xleftarrow{\text{sp}} &]X[_{\widehat{\mathcal{X}}} = \text{Spm } \widehat{A}_{X,K} \\
 j \downarrow \cap & & \downarrow \cap & \downarrow \cap & & \downarrow \cap \\
 \overline{X} & \rightarrow & \overline{\mathcal{X}} & \widehat{\overline{\mathcal{X}}} & \xleftarrow{\text{sp}} &]\overline{X}[_{\widehat{\mathcal{X}}} = \overline{\mathcal{X}}_K^{\text{an}} \\
 \downarrow & & \downarrow \text{smooth} & \downarrow & & \downarrow \\
 \text{Spec } k & \rightarrow & \text{Spec } R & \text{Spf } R & \xleftarrow{\text{sp}} & \text{Spm } K
 \end{array}$$

- $j^\dagger \mathcal{O}_{]X[_{\widehat{\mathcal{X}}}} = \varinjlim_V \alpha_{V,*} \alpha_V^{-1} \mathcal{O}_{]X[_{\widehat{\mathcal{X}}}}$ $\alpha_V : V \subset]\overline{X}[_{\widehat{\mathcal{X}}}$ $\left\{ \begin{array}{l} V : \text{strict nbd of }]X[_{\widehat{\mathcal{X}}} \text{ in }]\overline{X}[_{\widehat{\mathcal{X}}} \\ \text{i.e., }]\overline{X}[_{\widehat{\mathcal{X}}} = V \cup]\overline{X}[_{\widehat{\mathcal{X}}} \setminus X[_{\widehat{\mathcal{X}}} \text{ is admissible} \end{array} \right.$
- $A_{X,K}^\dagger = A_X^\dagger[1/p] = \Gamma(]\overline{X}[_{\widehat{\mathcal{X}}}, j^\dagger \mathcal{O}_{]X[_{\widehat{\mathcal{X}}}})$ A_X^\dagger : weak completion of A_X
- $\widehat{A}_{X,K} = \widehat{A}_X[1/p] = \Gamma(]X[_{\widehat{\mathcal{X}}}, \mathcal{O}_{]X[_{\widehat{\mathcal{X}}}})$ \widehat{A}_X : p -adic completion of A_X
- \mathcal{M}^\dagger : o.c. F -isoc on X/K
- $M^\dagger = \Gamma(]\overline{X}[_{\widehat{\mathcal{X}}}, \mathcal{M}^\dagger)$: a fin. gen. projective $A_{X,K}^\dagger$ -module with ∇, Φ
- $M = \Gamma(]X[_{\widehat{\mathcal{X}}}, \mathcal{M})$: a fin. gen. projective $\widehat{A}_{X,K}$ -module with ∇, Φ

Bounded quotients

$E_{X,K} := \widehat{A_{X,m_K}}[1/p]$: p -adic lift of $k(X)$ with p -adic valuation $|\cdot|$

$t \in E_{X,K}$: a lift of certain local parameter of X

M_η : $E_{X,K}$ -sp. of dim. n with $\nabla : M_\eta \rightarrow M_\eta \otimes_{E_{X,K}} \Omega_{E_{X,K}/K}$

$C_l \in M_n(E_{X,K})$ ($l \geq 0$) s.t. $\frac{d^l}{dt^l}(e_1, \dots, e_n) = (e_1, \dots, e_n)C_l$

$Y = \sum_{l=0}^{\infty} \frac{C_l}{l!}(x-t)^l$: a matrix solution of M_η at generic point $x = t$

Definition (bounded modules)

- (1) M_η is solvable $\Leftrightarrow \left| \frac{C_l}{l!} \right| \eta^l \rightarrow 0$ (as $l \rightarrow \infty$) for $0 < \forall \eta < 1$
- (2) M_η is bounded $\Leftrightarrow \sup_l \left| \frac{C_l}{l!} \right| < \infty$

Theorem (Dwork, Christol, Robba)

M_η : solvable $\Rightarrow \exists_1 M_\eta^b \subsetneq M_\eta$ s.t. $\begin{cases} M_\eta/M_\eta^b \text{ is bounded} \\ \dim \text{Sol}^b(M_\eta) = \dim M_\eta/M_\eta^b \end{cases}$

$\text{Sol}^b(M_\eta) = \text{Hom}_\nabla(M_\eta, E_{X,K}[[x-t]]_0)$

Bounded/PBQ F -isoc $\mathcal{M}(\dagger)$

Definition (Bounded/PBQ F -isoc)

(1) $\mathcal{M}(\dagger)$ is bounded $\Leftrightarrow M_\eta = M \otimes_{\widehat{A}_{X,K}} E_{X,K}$ is bounded

(2) $\mathcal{M}(\dagger)$ is PBQ $\Leftrightarrow M_\eta/M_\eta^b$ has a unique slope $\Leftrightarrow M_\eta^b = M_\eta^1$

Theorem (Chiarellotto-T. (local), T. (global))

$$\mathcal{M}(\dagger) \text{ is bounded } \Leftrightarrow \begin{cases} \mathcal{M}(\dagger) \text{ admits a slope fil s.t.} \\ \mathcal{M}(\dagger) \cong \bigoplus_i (\mathcal{M}(\dagger))^i / (\mathcal{M}(\dagger))^{i+1} \end{cases}$$

Proposition

Given $\beta : \mathcal{N} \rightarrow \mathcal{M}$.

(1) \mathcal{N} is PBQ & β is surj. $\Rightarrow \mathfrak{g}\text{-sl}_{\max}(\mathcal{N}) = \mathfrak{g}\text{-sl}_{\max}(\mathcal{M})$ & \mathcal{M} is PBQ

(2) \mathcal{M} is PBQ & $N_\eta/N_\eta^1 \xrightarrow{\beta^0} M_\eta/M_\eta^1$: surj $\Rightarrow \beta$ is surj.

Here $\mathfrak{g}\text{-sl}_{\max}(\mathcal{M}) := \text{sl}(M_\eta/M_\eta^1)$: generic max. slope

PBQ filtration

Theorem (Chiarellotto-T. (local), T. (global))

\mathcal{M}^\dagger : o.c. F -isoc on X/K

$\Rightarrow \exists_1 0 = \mathcal{P}_0^\dagger \subsetneq \mathcal{P}_1^\dagger \subsetneq \dots \subsetneq \mathcal{P}_r^\dagger = \mathcal{M}^\dagger$ s.t. $\begin{cases} \mathcal{P}_i^\dagger / \mathcal{P}_{i-1}^\dagger \text{ is PBQ} \\ \mathfrak{g}\text{-sl}_{\max}(\mathcal{P}_i) > \mathfrak{g}\text{-sl}_{\max}(\mathcal{P}_{i+1}) \end{cases}$
PBQ filtration

- \mathcal{P}_1^\dagger is called the max PBQ submodule of \mathcal{M}^\dagger

Theorem

$$\mathcal{M}^\dagger \text{ is irreducible} \Leftrightarrow \begin{cases} \mathcal{M}^\dagger \text{ is PBQ} \\ \mathcal{M}^\dagger \rightarrow M_\eta / M_\eta^1 \text{ is injective} \\ M_\eta / M_\eta^1 \text{ is irreducible} \end{cases}$$

Generic inequality

Theorem

\mathcal{M} : isoclinic conv F -isoc on X/K , \mathcal{N}^\dagger : o.c. F -isoc on X/K

$$N^\dagger \subset M \curvearrowright \Phi, \nabla \Rightarrow \begin{cases} \mathfrak{g}\text{-sl}_{\max}(\mathcal{N}) = \text{sl}(\mathcal{M}) \\ \dim_{E_{X,K}} N_\eta/N_\eta^1 \leq \text{rk } \mathcal{M} \end{cases}$$

Prf of M.S.C. assuming inequality

Define an o.c. F -isoc \mathcal{L}^\dagger by

$$L^\dagger = \text{Im}(M^\dagger \oplus N^\dagger \rightarrow M_\eta/M_\eta^1 \xleftarrow[\beta^0]{\cong} N_\eta/N_\eta^1).$$

The inequality above implies

$$\dim M_\eta/M_\eta^1 \leq \dim L_\eta/L_\eta^1 \leq \dim M_\eta/M_\eta^1$$

so that $\mathcal{M}^\dagger \xrightarrow{=} \mathcal{L}^\dagger \xleftarrow{=} \mathcal{N}^\dagger$ by irreducibility (or PBQ properties of \mathcal{L}^\dagger). \square

Relation with Dwork's conjecture on log-growth

Definition (log-growth $\lambda \geq 0$)

- (1) $M_\eta (\mathcal{M}]_a[)$: log growth $\leq \lambda \Leftrightarrow \left| \frac{C_l}{l!} \right| = O(l^\lambda) \left(\left| \frac{C_l(\widehat{a})}{l!} \right| = O(l^\lambda) \right)$
- (2) $\text{Sol}_\lambda(M_*)$: solution space of log-growth $\leq \lambda$ ($* = \eta, a$)

Theorem (Dwork, André)

\mathcal{M} : conv. F -isoc on X/K

$$\text{N.P. of } \{\text{Sol}_\lambda(M_\eta)\}_\lambda < \text{N.P. of } \{\text{Sol}_\lambda(i_a^* \mathcal{M})\}_\lambda$$

Theorem (Dwork, Chiarellotto-T., Ohkubo)

\mathcal{M} : PBQ conv. F -isoc on X/K

- (1) generic/special log-growth fil of \mathcal{M} = g/s slope fil of \mathcal{M}^\vee
- (2) N.P. of $\{\text{Sol}_\lambda(M_\eta)\}_\lambda < \text{N.P. of } \{\text{Sol}_\lambda(i_a^* \mathcal{M})\}_\lambda$
s.t. both endpoints coincide with each other.

§3. Inequality $\text{rk } \mathcal{N}/\mathcal{N}^1 \leq \text{rk } \mathcal{M}$

X : a smooth connected curve over $k = \bar{k}$

x : a lift of local parameter at $a \in X(k)$

$$\mathcal{R}_a = \lim_{\lambda \rightarrow 1^-} \Gamma([\lambda, 1), \mathcal{O}_{\overline{X}[\widehat{x}]}) = \left\{ \sum_{n \in \mathbb{Z}} a_n x^n \left| \begin{array}{l} a_n \in K \\ |a_n| \eta^n \rightarrow 0 \ (n \rightarrow \infty) \ (\forall \eta < 1) \\ \exists \lambda < 1 \text{ s.t. } |a_n| \lambda^n \rightarrow 0 \ (n \rightarrow -\infty) \end{array} \right. \right\} : \text{Robba ring}$$

$$\mathcal{E}_a^\dagger = \{ \sum a_n x^n \in \mathcal{R} \mid \sup_n |a_n| < \infty \} : \text{bounded Robba ring}$$

$$\mathcal{E}_a = \widehat{\mathcal{E}_a^\dagger} : \text{Amice ring, } p\text{-adic completion of } \mathcal{E}_a^\dagger$$

$$\begin{array}{rcl} A_{X,K}^\dagger & \rightarrow & \mathcal{R}_a \\ & \searrow & \cup \\ \cap & & \mathcal{E}_a^\dagger \quad \dots \quad \text{henselian d.v.f. with r.f. } k((x)) \\ & & \cap \\ \widehat{A}_{X,K} & \rightarrow & \mathcal{E}_a \quad \dots \quad \text{complete d.v.f. with r.f. } k((x)) \end{array}$$

$$\varphi \simeq \mathcal{E}_a, \mathcal{E}_a^\dagger, \mathcal{R}_a : \text{a } q\text{-Frobenius s.t. } \varphi|_K = \sigma \quad (\text{e.g. } \varphi(x) = x^q)$$

Definition

M^\dagger is a φ - ∇ -module over \mathcal{E}^\dagger if $\dim_{\mathcal{E}^\dagger} M^\dagger < \infty$ with

(i) $\nabla : M^\dagger \rightarrow M^\dagger \otimes_{\mathcal{E}^\dagger} \Omega_{\mathcal{E}^\dagger} \quad K\text{-connection}$

(ii) $\Phi : \varphi^* M^\dagger \xrightarrow{\cong} M^\dagger \quad \text{Frobenius, horizontal w.r.t. } \nabla.$

Local inequality

$$\tilde{\mathcal{E}} = \left\{ \sum_{n \in \mathbb{Q}} a_n x^n \mid \begin{array}{l} a_n \in K, \sup_n |a_n| < \infty, a_n \rightarrow 0 (n \rightarrow -\infty) \\ \{n \mid |a_n| \geq \xi\} \text{ is well-ordered for } \forall \xi > 0. \end{array} \right\} \quad \text{residue field } k((x^{\mathbb{Q}}))$$

$$\tilde{\mathcal{E}}^\dagger = \{ \sum_n a_n x^n \in \tilde{\mathcal{E}} \mid |a_n| \eta^n \rightarrow 0 (n \rightarrow -\infty) \text{ for some } \eta < 1 \}$$

Theorem (De Jong)

N^\dagger : a φ -module over \mathcal{E}^\dagger with $\tilde{N}^\dagger = \tilde{\mathcal{E}}^\dagger \otimes_{\mathcal{E}^\dagger} N^\dagger$.

(1) \exists_1 opposite slope filtration $0 = \tilde{N}_0^\dagger \subsetneq \tilde{N}_1^\dagger \subsetneq \dots \subsetneq \tilde{N}_r^\dagger = \tilde{N}^\dagger$ s.t.

$$\tilde{\mathcal{E}} \otimes_{\tilde{\mathcal{E}}^\dagger} \tilde{N}_i^\dagger / \tilde{N}_{i-1}^\dagger \cong \tilde{\mathcal{E}} \otimes_{\mathcal{E}} N^{i-1} / N^i.$$

(2) $\tilde{N}^\dagger \subset \tilde{\mathcal{E}} \curvearrowright \varphi \Rightarrow \begin{cases} \text{sl}(N/N^1) = \text{sl}(\tilde{N}_1^\dagger) = 0 \\ \dim_{\mathcal{E}} N/N^1 = \dim_{\tilde{\mathcal{E}}^\dagger} \tilde{N}_1^\dagger = 1 \end{cases}$

Corollary

M : a φ - ∇ -module over \mathcal{E} with a unique slope.

N^\dagger : a φ - ∇ -module over \mathcal{E}^\dagger

$$N^\dagger \subset M \curvearrowright \varphi \Rightarrow \begin{cases} \text{sl}(N/N^1) = \text{sl}(M) \\ \dim_{\mathcal{E}} N/N^1 \leq \dim_{\mathcal{E}} M. \end{cases}$$

Local results

Theorem

M^\dagger : A φ - ∇ -module over \mathcal{E}^\dagger

(1) (Chiarellotto-T.) \exists_1 PBQ fil $\{P_i^\dagger\}$ of M^\dagger s.t., if M^\dagger arises from an o.c. F -isoc on X/K , then $\mathcal{E}_a^\dagger \otimes \mathcal{P}_i^\dagger = P_i^\dagger$ for $a \in X(k)$.

(2) M^\dagger : irreducible $\Leftrightarrow \begin{cases} M \text{ is PBQ} \\ M^\dagger \rightarrow M/M^1 \text{ is injective} \\ M/M^1 \text{ is irreducible} \end{cases}$

Theorem (Local minimal slope problem)

M^\dagger and N^\dagger : irreducible φ - ∇ -modules over \mathcal{E}^\dagger

$\beta^0 : N/N^1 \xrightarrow{\cong} M/M^1 \Rightarrow \exists \beta^\dagger : N^\dagger \xrightarrow{\cong} M^\dagger$ s.t.

$$\begin{array}{ccc} N & \xrightarrow{\beta} & M \\ \downarrow & \circlearrowleft & \downarrow \\ N/N^1 & \xrightarrow{\beta^0} & M/M^1 \end{array}$$

Proof of global inequality $\text{rk } \mathcal{N}/\mathcal{N}^1 \leq \text{rk } \mathcal{M}$

1. We may assume \mathcal{N} is PBQ. Then $\text{g-sl}_{\max}(\mathcal{N}) = \text{sl}(\mathcal{M})$.
2. We may assume $X = \mathbb{A}^1$, \mathcal{N} admits the slope fil.
 - Suppose $\text{rk } \mathcal{N}/\mathcal{N}^1 > \text{rk } \mathcal{M}$.

$$\begin{array}{ccc}
 \mathcal{H} = \text{Ker}(\mathcal{N} \rightarrow \mathcal{M}) & \supset & \text{max PBQ sub } \mathcal{L} \\
 \updownarrow \text{ may not glue} & & \updownarrow \text{ glue in } \mathcal{N}^\dagger \\
 H_\infty^\dagger = \text{Ker}(N^\dagger \otimes_{A_{X,K}^\dagger} \mathcal{E}_\infty^\dagger \rightarrow M \otimes_{\hat{A}_{X,K}} \mathcal{E}_\infty) & \supset & L_\infty^\dagger
 \end{array}$$

i.e. $\left\{ \begin{array}{l} \mathcal{L}/\mathcal{L}^1 \cong \mathcal{H}/\mathcal{H}^1 \not\subset \mathcal{N}/\mathcal{N}^1 \\ L_\infty^\dagger \otimes_{\mathcal{E}_\infty^\dagger} \mathcal{E}_\infty \xrightarrow{\cong} L_\infty \xleftarrow{\cong} L \otimes_{\hat{A}_{X,K}} \mathcal{E}_\infty \quad (\because \text{global-local PBQ compatibility}) \end{array} \right.$

- By gluing and full faithfulness from o.c. to conv.

$$\exists \mathcal{L}^\dagger : \text{o.c. } F\text{-isoc} \subset \mathcal{N}^\dagger \text{ s.t. } \left\{ \begin{array}{l} \mathcal{L}^\dagger|_X \cong \mathcal{L} \\ L^\dagger \otimes \mathcal{E}_\infty^\dagger \cong L_\infty^\dagger \end{array} \right. \text{ contradicting } N^\dagger \subset M$$

§4. Example of PBQ filtrations

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p : odd prime number

$C = \mathbb{P}^1 \setminus \{0, 1, \infty\} / \mathbb{F}_p$: z -line

χ : quadratic character w.r.t. $w^2 = z(z-1)$ on C

Proposition

$X : y^2 = x(x-1)(x-z)$ affine Legendre's family over C

$Y : y^2 = xz(x-1)(z-1)(x-z) \subset \bar{Y}$: singular K3-surface

(1) $\mathcal{L}^\dagger = \underline{H}_{\text{rig}}^1(X/(C, \bar{C}))$: rk = 2, regular at $z = 0, 1, \infty$

(2) $H_{\text{rig}}^1(C, \mathcal{L}^\dagger(\chi)) \cong H_{\text{rig},c}^1(C, \mathcal{L}^\dagger(\chi)) \cong H_{\text{rig},c}^2(Y)$ of dim = 2

(3) $\text{sl}_{\min}(H_{\text{rig},c}^2(Y)) = 0$ if $p \equiv 1 \pmod{4}$ and 1 if $p \equiv 3 \pmod{4}$

$$\therefore Z(\bar{Y}/\mathbb{F}_p, t) = \frac{1}{(1-t)(1-pt)^{20}(1-\rho_p\pi_p^2t)(1-\bar{\rho}_p\bar{\pi}_p^2t)(1-p^2t)} \text{ (Stienstra-Beukers)}$$

$$L(X_{-1}/\mathbb{F}_p, t) = \det(1 - t\Phi; i_{-1}^* \mathcal{L}^\dagger) = (1 - \pi_p t)(1 - \bar{\pi}_p t), \rho_p \in \mathbb{Z}[\sqrt{-1}]^\times \quad \square$$

Conclusion

$p \equiv 1 \pmod{4} \Rightarrow \exists$ o.c. F -isoc \mathcal{M}^\dagger on C/\mathbb{Q}_p with nontriv. PBQ fil:

$$0 \rightarrow \mathcal{L}^\dagger(\chi) \rightarrow \mathcal{M}^\dagger \rightarrow j^\dagger \mathcal{O}_{\bar{C}} \otimes_{\mathbb{Q}_p} H_{\text{rig}}^1(C, \mathcal{L}^\dagger(\chi))^{\text{sl}=0} \rightarrow 0$$

I hope Yves and everyone will have happy and healthy life.

Thank you very much for your attention.